



Farley Financial Privacy Policy Statement, September 2020

Farley Financial respects your privacy and pledges to maintain the confidentiality and security of your personal and financial information.

Collecting Information

Farley Financial collects personal and financial information about you that is required by law to establish and maintain your account(s). This information includes, but is not limited to, your name, address(es), social security number, birth date, telephone number(s), e-mail address(es), driver's license number, marital status, number of dependents, beneficiary information, employment status, annual income, investment objectives, investment experience, and investment risk tolerance.

Sharing Information

Farley Financial does not provide, share, communicate, or redistribute any portion of your personal or financial information in any form without your written consent to any party other than those listed below:

- Your investment custodian
- Mutual fund or insurance companies whose investments or policies you own
- State and federal investment regulators
- Law enforcement, or as required by court order

Protecting Information

Access to clients' personal information is limited to Farley Financial representatives who need to know that information to service clients' accounts and help them accomplish their financial objectives. Farley Financial representatives are required to maintain and protect the confidentiality of all personal information. To comply with applicable laws and regulations, Farley Financial maintains physical and electronic safeguards to protect this information.

Policy Review and Reaffirmation

Farley Financial will review and reaffirm this privacy policy on an annual basis. A written copy of this privacy policy will be delivered to all clients on an annual basis and upon request.